



Township Council
1 Municipal Plaza
Bloomfield, NJ 07003

Louise M. Palagano
Municipal Clerk

<http://www.bloomfieldtwpnj.com>

Meeting: 09/03/13 07:00 PM

2013 RESOLUTION AWARD OF PROFESSIONAL SERVICE

AUTHORIZING THE AWARD OF A NON-FAIR AND OPEN CONTRACT FOR A REGISTERED MUNICIPAL ADVISOR

WHEREAS, the Township of Bloomfield needs the services of a Registered Municipal Advisor; and

WHEREAS, the Township desires to make this appointment under the provisions *N.J.S.A. 19:44A-20.5*, as a non-fair and open contract; and

WHEREAS, the Township Administrator has determined that the value of these services will not exceed \$17,500; and

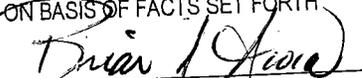
WHEREAS, this contract will end upon the completion of the bond sale; and

WHEREAS, Acacia Financial Group Inc., Four Greentree Center, Suite 206, 1300 Lincoln Drive West, Marlton, NJ, 08053 (hereinafter "Professional") has submitted the attached proposal indicating they will provide the necessary services; and

WHEREAS, the Professional has completed and submitted a Business Entity Disclosure Certification which certifies they have not made any reportable contributions to a political or candidate committee in the Township of Bloomfield in the previous one year and that the contract will prohibit the Professional from making any reportable contributions during the term of the contract; and

WHEREAS, the Director of Finance has certified that funds are available to cover the cost of this service.

APPROVED AS TO FORM AND PROCEDURE
ON BASIS OF FACTS SET FORTH


Director of Law - Township Attorney

NOW, THEREFORE, BE RESOLVED, that the Mayor and Council of the Township of Bloomfield authorizes the Township Administrator to enter into a contract with the Professional as described herein; and

BE IT FURTHER RESOLVED, that no minimum payment is implied or guaranteed; and

BE IT FURTHER RESOLVED, that the Business Entity Disclosure Certification, the Township Administrator's Determination of Value, the proposal of the Professional and the contract itself be placed on file with this resolution; and

BE IT FURTHER RESOLVED, that the above documents shall be available for public inspection at the office of the Township Clerk during regular business hours; and

BE IT FURTHER RESOLVED, that the Township reserves the right to cancel this contract upon thirty (30) days notice and the Professional shall only be paid for the work completed or on a pro-rated amount if the contracts calls for a monthly retainer; and

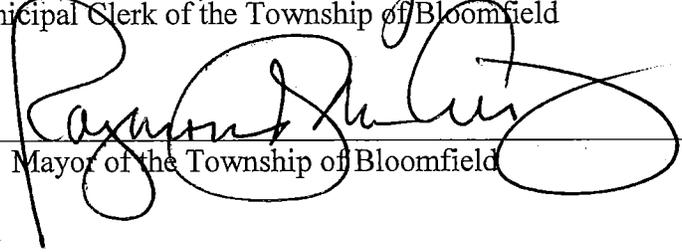
BE IT FURTHER RESOLVED, that a notice of this action shall be printed once in the official newspaper of the Township of Bloomfield.

* * * * *

I hereby certify that the above resolution was duly adopted by the Mayor and Council of the Township of Bloomfield at a meeting of said Township Council held on September 03, 2013.



Municipal Clerk of the Township of Bloomfield



Mayor of the Township of Bloomfield

✓ Vote Record - Resolution 2908					
		Yes/Aye	No/Nay	Abstain	Absent
<input checked="" type="checkbox"/> Adopted					
<input type="checkbox"/> Adopted as Amended					
<input type="checkbox"/> Defeated					
<input type="checkbox"/> Withdrawn					
<input type="checkbox"/> Tabled					
<input type="checkbox"/> Approved					
<input type="checkbox"/> Approved by Consensus					
<input type="checkbox"/> Not Discussed					
<input type="checkbox"/> Tabled with No Vote					
<input type="checkbox"/> Discussed					
<input type="checkbox"/> Veto by Mayor					
<input type="checkbox"/> Approved No Vote					
	Elias N. Chalet	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Nicholas Joanow	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Carlos Bernard	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Michael J. Venezia	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Peggy O'Boyle Dunigan	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Bernard Hamilton	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Raymond J. McCarthy	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

BUSINESS ENTITY DISCLOSURE CERTIFICATION
FOR NON-FAIR AND OPEN CONTRACTS
 Required Pursuant To N.J.S.A. 19:44A-20.8

Part I – Vendor Affirmation

The undersigned, being authorized and knowledgeable of the circumstances, does hereby certify that the professional/business entity has not made and will not make any reportable contributions pursuant to N.J.S.A. 19:44A-1 et seq. that, pursuant to P.L. 2004, c. 19 would bar the award of this contract in the one year period preceding January 1, 2012 to any of the following named elected officials, candidate committee, joint candidates committee; or political party committee representing the elected officials of the Township of Bloomfield as defined pursuant to N.J.S.A. 19:44A-3(p), (q) and (r).

<u>Elected Officials</u>	<u>Committees</u>
Mayor Ramond J. McCarthy	Bloomfield Democratic Committee
Councilman Bernard Hamilton	Elias N Chalet for Councilman
Councilman Elias N. Chalet	The Ray McCarthy Election Fund
Councilwoman Peggy O'Boyle-Dunigan	The Election Fund of Nicholas Joanow
Councilman Michael J. Venezia	Friends of Carlos Bernard Councilman
Councilman Nicholas Joanow	Margaret O'Boyle-Dunigan Election Fund
Councilman Carlos Bernard	The Election Fund of Michael Venezia
	The Committee to Elect the McCarthy Team
	Friends in Support of Bernard Hamilton
	Bloomfield Town Council Candidates – Carlos Bernard & Elias N. Chalet

Part II – Ownership Disclosure Certification

I certify that the list below contains the names and home addresses of all owners holding 10% or more of the issued and outstanding stock of the undersigned.

Check the box that represents the type of business entity:

- Partnership
 Corporation
 Sole Proprietorship
 Subchapter S Corporation
 Limited Partnership
 Limited Liability Corporation
 Limited Liability Partnership

<u>Name of Stock or Shareholder</u>	<u>Home Address</u>
Kim M. Whelan	832 Maffack Dr, Moorestown NJ 08057
Maxon P. White	2 Tuxedo Rd., Glen Ridge, NJ 07028
Peter D. Nissen	901 Cheslerfield Dr, Lower Merion, PA 19062
Joshua C. Nykita	315 New Street, Apt 209, Philadelphia, PA 19106

Part 3 – Signature and Attestation:

The undersigned is fully aware that if I have misrepresented in whole or part this affirmation and certification, I and/or the business entity, will be liable for any penalty permitted under law.

Name of Business Entity: Acacia Financial Group Inc
 Signed: [Signature] Title: Co-President
 Print Name: Kim M. Whelan Date: 8/15/13

Subscribed, and sworn before me this 15th day of August, 2013

My Commission expires May 11, 2014
Hollie L. Rosenberg

[Signature]
 (Affiant)
Kim M. Whelan Co-President
 (Print name & title of affiant) (Corporate Seal)

HOLLIE L. ROSENBERG
 NOTARY PUBLIC
 STATE OF NEW JERSEY
 MY COMMISSION EXPIRES MAY 11, 2014

FEE PROPOSAL

Scope of Services:

- Provide the Township with overall advice regarding various financing issues including structure, timing, interest rates, call provisions and professional fees of finance participants.
- Coordinate electronic bidding and investor outreach on behalf of the Township in connection with the Competitive Sale of the Township's bonds and notes to achieve the greatest investor interest and lowest possible borrowing cost under market conditions at the time of the sale.
- Coordinate the working group and financing schedule, as directed by the Township.
- Work with Bond Counsel to produce offering documents
- Assist in the compilation and review of all documents.
- Assist in the preparation of the Local Finance Board Application and attend Local Finance Board Hearings as necessary.
- Prepare materials for rating agencies and investor presentations.
- Attend Township Counsel Meetings as appropriate and provide market and other related reports.
- The firm may perform other specific reviews and provide advice on an ad hoc basis, as directed by the Township.

Financial Advisory Fee:

Acacia proposes a fee of \$15,000 for financial advisory services provided to the Township in connection with the issuance of the 2013 Series and matters related thereto. Acacia also proposes to be reimbursed, not to exceed \$500, for the following reasonable expenses incurred in providing the services set forth herein at rates which are approved upon submission of appropriate invoices: postage, photocopies, telecopies, travel and telephone.



Noreen P. White, Co-President
Acacia Financial Group, Inc.

Raymond J. McCarthy, Mayor
Township of Bloomfield

Attest: Louise Palagano, Municipal Clerk

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FOR NON-FAIR AND OPEN CONTRACTS
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Mayor Raymond J. McCarty	Bloomfield Democratic Committee
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Councilman Elias N. Chalet	The Ray McCarty Election Fund
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Councilman Michael J. Venezia	Friends of Carlos Bernard Councilman
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Name of Stock or Shareholder	Home Address
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Karen P. White	2 Tuxedo Rd, Glen Ridge, NJ 07028
John D. Nissen	901 Chestnut St, Upper Merion PA 19082
Joshua C. Miklitz	315 New Street, Apt 209, Philadelphia, PA 19106

Part 3 - Signature and Attestation:

The undersigned is fully aware that if I have misrepresented in whole or part this affirmation and certification, I and/or the business entity, will be liable for any penalty permitted under law.

Signed: Kim M. Venezia
 Name of Business Entity: Accia Group, Inc
 Title: Co-President
 Date: 8/15/13

Subscribed and sworn before me this 13th day of August, 2013.
 My Commission expires May 11, 2014
Halle & Rosenbergs
 (Print name & title of affiant) (Corporate Seal)
Kim M. Venezia
 (Affiant)
Co-President

HOLLIE L. ROSENBERG
NOTARY PUBLIC
STATE OF NEW JERSEY
MY COMMISSION EXPIRES MAY 11, 2014

FEE PROPOSAL

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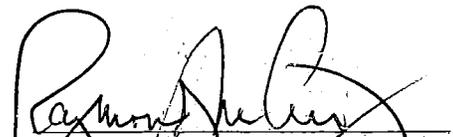
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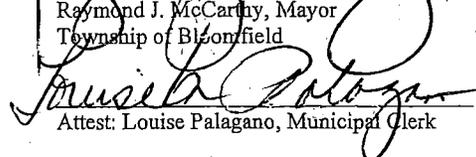
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Township of Bloomfield


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